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1 UNITED STATES DISTRICT COURT
2 SOUTHERN DISTRICT OF NEW YORK

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3 MARK NUNEZ, et al.,

4 Plaintiffs,

5 v.

11 CV 5845 (LTS)

6 CITY OF NEW YORK, et al.,

7 Defendants.

8 -----x

9 New York, N.Y.
10 October 21, 2015
2:05 p.m.

11 Before:

12 HON. LAURA TAYLOR SWAIN,

13 District Judge

14 APPEARANCES

15 LEGAL AID SOCIETY

Attorneys for Plaintiffs

16 BY: MARY LYNNE WERLWAS

JONATHAN S. CHASAN

17 -and-

ROPES & GRAY

18 BY: WILLIAM I. SUSSMAN

-and-

19 U.S. DEPARTMENT OF JUSTICE

20 BY: JEFFREY POWELL

LARA K. ESHKENAZI

-and-

21 EMERY CELLI BRINCKERHOFF & ABADY LLP

22 BY: JONATHAN S. ABADY

DEBBIE GREENBERGER

23 NEW YORK CITY LAW DEPARTMENT

Attorneys for Defendants

24 BY: ARTHUR G. LARKIN, III

CELESTE KOELEVELD

25 KIMBERLY JOYCE

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1 (Open court)

2 (Case called)

3 THE COURT: Good afternoon. Would everyone other than
4 the attorneys please be seated. This is the fairness hearing
5 in respect of the consent judgment proposed in the matter of
6 Nunez against Correction Officer Thomas, et al.

7 Counselor, would you kindly introduce yourselves. The
8 person who will speaking on behalf of the interest should
9 introduce the team, please.

10 MR. POWELL: Jeffrey Powell with the U.S. Attorney's
11 Office for the government.

12 THE COURT: Good afternoon, Mr. Powell. And with you
13 is Ms. Eshkenazi?

14 MS. ESHKENAZI: Yes, your Honor.

15 THE COURT: Good afternoon.

16 MS. WERLWAS: Good afternoon. Mary Lynne Werlwas from
17 the Legal Aid Society's Prisoners' Rights Project, together
18 with Jonathan Chasan for the Prisoners' Rights Project.

19 THE COURT: Good afternoon, Ms. Werlwas and
20 Mr. Chasan.

21 MR. SUSSMAN: Good afternoon, your Honor. William
22 Sussman of Ropes and Gray on behalf of plaintiffs class.

23 THE COURT: Good afternoon, Mr. Sussman.

24 MR. ABADY: Jonathan Abady from Emery, Celli,
25 Brinckerhoff and Abady, here with Debbie Greenberger, also for

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1 the plaintiff, your Honor.

2 THE COURT: Good afternoon, Mr. Abady and
3 Ms. Greenberger. You can a be seated.

4 MS. KOELEVELD: Your Honor, Celeste Koeleveld for the
5 City. I'm joined by Arthur Larkin and Kimberly Joyce.

6 THE COURT: Good afternoon, Ms. Koeleveld, Mr. Larkin
7 and Ms. Joyce. And greetings to the members of the press and
8 other spectators and interested parties who are here this
9 afternoon. Thank you all for coming to court.

10 First, I would like the thank the parties for their
11 submissions and for the very thorough supplemental issues that
12 we discussed at the preliminary hearing regarding the
13 settlement, and I would like to congratulate and commend
14 everyone here for their efforts in reaching the important
15 result that we're taking up here today, particularly given the
16 very serious, complex and, no doubt, at times, intractable set
17 of issues that needed to be addressed.

18 At this point, I would invite the parties to state
19 their positions as to the settlement, to make your statements
20 in support of the settlement. Ms. Werlwas.

21 MS. WERLWAS: Yes. Speaking on behalf of the Nunez
22 plaintiff class, we are asking you to give final approval to
23 the settlement agreement that you preliminarily approved in
24 July. We aren't going to restate what we put in those papers
25 detailing the extensive and very arm's length negotiations that

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1 culminated in this settlement agreement and that describe the
2 fairness of its substantive terms. Those are also further
3 detailed much more closely in the declaration of Mr. Martin,
4 which shows the nexus between the terms of relief and the
5 problems they were seeking to resolve.

6 Since your Honor's preliminary approval, this notice
7 of the settlement agreement was distributed to the class,
8 pursuant to the procedures your Honor had ordered, and it also
9 received significant media attention and could have been seen
10 by any interested stakeholders.

11 We're delighted but not surprised that the response
12 from the class has been overwhelmingly positive. The fact that
13 there was only one objection lodged, which we addressed in our
14 papers, demonstrates the near unanimous approval of the class
15 of this settlement on their behalf.

16 In our view, this settlement is an excellent result
17 for the plaintiff class. From the outset of the litigation,
18 we, as counsel for the class, have made clear that any
19 resolution of this litigation would require, at a minimum, two
20 features; that it would need to be a court-enforceable order,
21 and that there would need to be a neutral entity to monitor
22 compliance with the agreement.

23 The consent judgment before your Honor has both of
24 those features, and in addition, it requires a range of
25 operational and policy changes that were carefully crafted with

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1 the guidelines of relevant correctional professionals. They
2 brought their expertise to the settlement table over and over
3 again to ensure that the measures agreed upon are consistent
4 with public safety and are sound correctional practice.

5 We believe that this agreement, if implemented, will
6 end the pernicious pattern of institutionalized staff violence
7 against inmates, who are held partially out of public view in
8 the city's jails. This brutality has no place in modern
9 corrections, and we are pleased to presents a consent judgment
10 to end it.

11 We are happy to answer any questions you have about
12 any of the specific terms or about the negotiations of the
13 agreement, but since much of that is laid out in our papers, I
14 think we will turn to others.

15 THE COURT: Before you sit, thank you, and I agree
16 that your papers are very comprehensive and clear. I do have
17 one technical question that I'm not sure was addressed directly
18 in the papers, and that is whether the CAFA notice to state
19 officials has been given, and whether the statutory time period
20 from the giving of that notice has elapsed?

21 MS. WERLWAS: Right. My understanding is that it has,
22 and I think, though, that the City lawyers would be the best to
23 answer the specifics on that.

24 THE COURT: Thank you.

25 MR. LARKIN: Yes, your Honor. We sent the notice out

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1 promptly, and more than 90 days has elapsed. We have not
2 received any objections from any of the State Attorneys General
3 to whom the notice was sent.

4 THE COURT: Thank you. Mr. Powell?

5 MR. POWELL: Thank you, your Honor. As your Honor is
6 aware, back in December 2014, the United States intervened in
7 the Nunez class action after issuing a report under the statute
8 CRIPA, finding that inmates between the ages of 16 and 18 were
9 being subjected to unconstitutional conditions of confinement.

10 Shortly thereafter, the settlement negotiations became
11 more intense and more regular, and it took several months for
12 us to get to where we were and to submit what we view as a very
13 comprehensive consent judgment that has a wide variety of --
14 requires the department to implement a myriad of new practices,
15 systems, policies and programs that are designed to reduce
16 violence in the jails and ensure the well-being of not only
17 inmates but also the staff that worked there.

18 The widespread reforms reflect the parties', the
19 City's and the plaintiffs' collective best judgment as to what
20 measures need to be taken to address what have been
21 long-standing deficiencies that we feel have existed in the
22 jail system.

23 I won't repeat what the Nunez counsel indicated, but
24 the agreement does call for the appointment of a jointly
25 selected monitor. Mr. Martin has been jointly agreed to by the

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1 parties. He is a nationally recognized correction expert. We
2 have submitted his CV to your Honor, as you requested, and we
3 feel that he is perfectly positioned and very well-experienced,
4 given his history of serving as a monitor in other
5 institutional reform cases, to perform the duties required
6 under the consent judgment here.

7 With respect to the issues that your Honor raised when
8 we were last before you on July 9th, we have addressed those in
9 our papers. Happy to answer any other questions regarding
10 those issues. As the joint motion, we're proud to be here with
11 the City now jointly requesting that the Court issue final
12 approval of the consent judgment and find that it is fair,
13 reasonable and adequate and fully complies with the PLRA.

14 THE COURT: Thank you. Would anyone else like to be
15 heard? Ms. Koeleveld?

16 MS. KOELEVELD: Yes, your Honor. As we have
17 stipulated in the agreement, your Honor, we believe that the
18 agreement that we've entered into is narrowly tailored and the
19 least-intrusive means to address the alleged constitutional
20 violations and that the remedies went further than necessary to
21 correct those violations.

22 From the beginning of our decision to enter into
23 settlement negotiations, the Department of Corrections was
24 determined to enter into an agreement that made operational
25 sense. Every single decision, every single term of the

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1 agreement was viewed from the perspective of is this something
2 that will work for the agency, is this something that will make
3 sense for us, is this something that will help move us forward.
4 And with those goals in mind, the negotiations were quite
5 extensive and comprehensive.

6 The agreement builds on reforms that are already
7 underway, in many respects, at the agency. The department has
8 announced a 14-point plan to make the jails safer, more
9 efficient, better run in many respects. So we believe that
10 this agreement dovetails that 14-point plan, and overall, is a
11 way to make the department move forward in a very, very
12 positive way.

13 As I noted, the department is already working not just
14 on the 14-point plan, but also on some of the terms in this
15 agreement. The reforms are already underway. The department
16 is prepared to hit the ground running and thinks that this is
17 the best way to keep everybody in the jails safe, both inmates
18 and correction officers alike. We urge your Honor to approve
19 the agreement.

20 THE COURT: Thank you. I'm very glad to hear that
21 steps are already underway to implement the measures that are
22 reflected here.

23 Would anyone else like to be heard?

24 Very well, then. I will now render my oral decision
25 on the motion for approval of the proposed consent judgment.

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1 These remarks constitute the Court's findings of facts and
2 conclusions of law for the purposes of Rule 52 of the Federal
3 Rules of Civil Procedure. The Court reserves the right to make
4 non-substantive changes and corrections in any transcript of
5 this oral opinion.

6 The Court has considered very carefully all of the
7 written submissions and all of the remarks here today.

8 I first summarize the relevant procedural background.
9 Plaintiff Mark Nunez filed the original complaint in this
10 action on August 18th, 2011, against the New York City
11 Department of Corrections and subsequently filed amended
12 complaints in May and September 2012, adding seven other
13 individual plaintiffs and four class representatives.

14 The second, and operative amended complaint, which is
15 docket entry No. 34, sought injunctive and declaratory relief
16 on behalf of a proposed class of current and future inmates at
17 the jails not already subject to court orders governing the use
18 of force, and damages for the individual plaintiffs.

19 On January 7, 2013, the Court entered a stipulated
20 order pursuant to Federal Rules of Civil Procedure 23(a), 23
21 (b)(1)(A) and 23(b)(2), certifying a class of all present and
22 future inmates confined in jails operated by the Department of
23 Corrections, except for the Eric M. Taylor Center and the
24 Elmhurst and Bellevue Prison Wards. That's docket entry 61.

25 That same order also appointed four individuals as

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1 representatives of the plaintiff class and appointed Ropes and
2 Gray; Emery, Celli, Brinckerhoff and Abady, LLP; and the Legal
3 Aid Society as class counsel.

4 The order further required the submission of a
5 proposed notice to the plaintiff class and a plan for
6 distributing that notice, which was approved, along with the
7 method of dissemination, on February 28th, 2013.

8 Discovery, settlement negotiations, the issuance of a
9 report by the Department of Justice concerning conditions in
10 the youth facilities at Rikers and intervention in this lawsuit
11 by the United States ensued.

12 On July 1st, 2015, the parties moved this Court for
13 preliminary approval of the proposed consent judgment, approval
14 of the content and method of distribution of the notice to the
15 class, establishment of a schedule for the process leading up
16 to and including this fairness hearing, and revision of the
17 definition of the certified class as agreed to by the parties
18 in the proposed consent judgment.

19 On July 20th, the Court entered its order
20 preliminarily approving the consent judgment, approval of the
21 class notice, and revision to the definition of the certified
22 class, which is docket entry 214. That order preliminarily
23 approved the consent judgment, preliminarily finding that its
24 terms were fair, reasonable and adequate and served the best
25 interests of the members of the plaintiff class, subject to a

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1 final determination.

2 The order set forth the method for giving class notice
3 and objections. The approved class notice was to be posted in
4 English and Spanish in areas of the law libraries, housing
5 areas and receiving rooms of each jail where it was reasonably
6 calculated to be seen by inmates in the area, and copies were
7 to remain posted until the expiration of the objection
8 deadline.

9 In addition, the City was required to deliver, on two
10 consecutive Saturdays, a copy of the class notice in English
11 and Spanish to every member of the plaintiff class who, at the
12 time of the distribution, was confined in a unit or housing
13 area in which the inmate was held in a cell 23 hours per day.
14 A postmark deadline of September 4th, 2015 was set for
15 objections, and the parties' counsel were required to file
16 responses to any timely objections by October 2nd.

17 That same order required the defendants to provide
18 notice of the proposed consent judgment to the appropriate
19 federal and state officials, as required by the Class Action
20 Fairness Act, and the corporation counsel's office has
21 confirmed today that that notice was provided and that the
22 statutory time period has elapsed with no objections being
23 lodged.

24 The July order also approved the revision of the class
25 definition, as agreed on by plaintiffs' class counsel and the

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1 defendants, and for good cause shown. This revision expanded
2 the class to include all present and future inmates confined in
3 the Eric M. Taylor Center, which is the youth facility.

4 As set forth in the declaration of Brenda Cooke,
5 submitted in support of the request for final approval, class
6 notice was properly disseminated in accordance with the
7 approved procedures. The declaration of Christina Bucci,
8 submitted in support of the request for final approval,
9 represents that six letters were received in response. None of
10 the writers objected to the consent judgment. Rather, each
11 asked to be added to the class action. Ropes and Gray
12 responded to each writer, explaining that if they met the
13 criteria for membership in the class, they need take no action
14 to remain part of the class. Only one class member submitted
15 an objection to the consent judgment. The Court e-filed that
16 objection, which was sent directly to the Court.

17 The Court has reviewed carefully all of the
18 submissions, and the Court has jurisdiction of this action
19 pursuant to Section 1331 of Title 28. The individual damages
20 claims for all of the plaintiffs have been settled with
21 separate stipulations and orders entered with respect to each
22 individual's damages claim.

23 I now turn to the parties' joint request for approval
24 of the settlement embodied in the consent judgment.

25 When evaluating the proposed settlement of a class

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1 action under Federal Rule of Civil Procedure 23(e), a court
2 must determine whether the settlement, taken as a whole, is
3 fair, reasonable and adequate and was not the product of
4 collusion. *Wal-Mart Stores, Inc. v. Visa U.S.A. Inc.*, 396 F.3d
5 96 at 116, the 2005 decision of the Second Circuit. A fairness
6 determination requires the court to consider both the
7 settlement's terms and the negotiating process leading to the
8 settlement.

9 In this circuit, courts examine the fairness, adequacy
10 and reasonableness of the class settlement at the final
11 approval stage by considering the so-called Grinnell factors.
12 Namely, first, the complexity, expense and likely duration of
13 the litigation; second, the reaction of the class to the
14 settlement; third, the stage of the proceedings and the amount
15 of discovery completed; fourth, the risks of establishing
16 liability; fifth, the risks of establishing damages; sixth, the
17 risks of maintaining the class action through the trial;
18 seventh, the ability of the defendants to withstand a greater
19 judgment; eighth, the range of reasonableness of the settlement
20 fund in light of the best possible recovery; and, ninth, the
21 range of reasonableness of the settlement fund in relation to a
22 possible recovery, in light of all of the attendant risks of
23 litigation.

24 I cite again the Wal-Mart stores decision at Page 117.
25 I also refer the record to the decision *Ingels v. Toro*, 438 F.

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1 Supp. 2d, 203 at 211, a 2006 Southern District of New York
2 decision, which notes that an inaction for injunctive relief,
3 the risks associated with establishing entitlement to the
4 remedies sought, rather than the risk of establishing damages
5 is the relevant inquiry for the fifth factor.

6 A presumption of fairness, adequacy and reasonableness
7 may attach to a class settlement reached in arm's length
8 negotiations between experienced, capable counsel after
9 meaningful discovery. I cite the Wal-Mart Stores decision at
10 Page 116. Furthermore, there is a strong judicial policy in
11 favor of settlements, particularly in the class action context.

12 The proposed consent judgment was reached after
13 extensive discovery with sophisticated counsel involved on both
14 sides, as documented in the supporting declarations. The
15 affidavits, or declarations, proffered by the parties
16 demonstrate that the negotiations were lengthy, vigorous and
17 conducted at arm's length over the course of several months by
18 experienced and competent attorneys and included the
19 involvement of the Commissioner of the Department of
20 Corrections and other officials of the Department, expert
21 consultants, the City's Corporation Counsel and the United
22 States Attorney. Magistrate Judge James Francis of this court
23 oversaw the general pretrial management of this case and
24 monitored the settlement negotiation process.

25 Because the proposed settlement is the product of

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1 arm's length negotiations between experienced and capable
2 counsel, after meaningful discovery, it is presumptively fair.
3 See In re: *EVCI Career Colleges Holding Corporation Securities*
4 *Litigation*, 2007 WL 2230177 (S.D.N.Y. July 27, 2007).

5 Having determined that the consent judgment is
6 entitled to a presumption of fairness, the Court Will now
7 examine the so-called Grinnell factors to determine the
8 fairness, adequacy and reasonableness of the consent judgment.
9 The Court's evaluation is based on the parties' extensive joint
10 submissions, including the declarations of Anna Friedberg,
11 Steve J. Martin, the joint memorandum of law in support of the
12 motion for final approval, and the memorandum of law in support
13 of the preliminary approval of the consent judgment, as well as
14 the Court's own oversight of the litigation and settlement
15 process.

16 The detailed and comprehensive proposed consent
17 judgment itself speaks volumes of the thought and careful
18 consideration of the rights of the class members and the safety
19 and correctional administration issues that characterize the
20 negotiations and the final agreement.

21 This evidence, in light of the full record and the
22 Court's familiarity with this litigation, establishes the
23 following material facts that confirm that the proposed
24 settlement is fair, reasonable and adequate. The Court finds
25 as follows:

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1 As to the complexity, expense and likely duration of
2 the litigation, if the class action had continued, it would
3 have required extensive further fact discovery and, ultimately,
4 the presentation of evidence concerning each of the 12 named
5 plaintiffs' individual excessive force claims, as well as
6 proofs substantiating the pattern and practice claims asserted
7 on behalf of the class.

8 The further pretrial discovery would have included
9 depositions of very senior supervisory Corrections Department
10 and City officials. A trial would have taken several weeks and
11 involved complex issues of fact and law. The policies and
12 practices that underlie plaintiff's claims may well have
13 remained unaddressed on a systemic basis prior to final
14 resolution of the litigation. This factor weighs strongly in
15 favor of approval of the settlement.

16 As to the reaction of the settlement class to the
17 settlement, there were a handful of responses to the notice of
18 the proposed settlement. As reported in the Bucci declaration,
19 five individuals requested inclusion in the class and asserted
20 that they had suffered wrongdoing at the hands of the
21 correctional officers. Ms. Bucci's declaration explains how
22 plaintiffs' counsel responded to those letters, none of which
23 objected to the settlement.

24 The one communication that characterized itself as an
25 objection was a letter addressed to the Court, which the Court

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1 then filed on the ECF system as docket entry 231. That letter
2 commends many features of the settlement and expresses the
3 writer's views on issues relating to corrections and his
4 suggestions on how the settlement might, in his view, be made
5 more robust. None of the content of the letter, which the
6 Court has considered carefully, indicates that the proposed
7 consent judgment is inadequate, unfair or unreasonable.

8 The lack of objections to the consent judgment is an
9 extremely significant indication that the class finds that it
10 is fair, reasonable and adequate. See *Charron v. Pinnacle*
11 *Group N.Y. LLC*, 874 F. Supp. 2d 179 at 198 (S.D.N.Y. 2012).
12 The fact that one class member would have preferred that it go
13 further, in some respects, does not diminish the strongly
14 positive significance of the reaction of the class as a whole.

15 I turn to the stage of the proceedings and the amount
16 of discovery completed. The consent judgment was negotiated
17 and proposed to the Court only after extensive discovery had
18 been conducted, including the production of more than two
19 million documents by the City and the taking of 57 depositions
20 of current and former Department of Corrections personnel. The
21 United States also conducted an extensive investigation before
22 issuing its report concerning conditions in solitary
23 confinement treatment in the facilities for young inmates and
24 joining this action as an intervenor. The body of information
25 developed by the parties was amply sufficient to inform the

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1 negotiation of a fair and reasonable settlement.

2 As to the risks of establishing liability and remedies
3 and of maintaining the class action through trial, given the
4 complexity and the breadth of the claims and the constitutional
5 issues involved, the litigation process clearly presented
6 substantial risks of adverse results or the achievement of
7 relief narrower than that provided for in the consent judgment.
8 The consent judgment greatly benefits the plaintiff class by
9 ensuring that the needed reforms will begin to be implemented
10 in the short term, rather than years from now, if at all,
11 following a trial and any appellate process. This factor
12 weighs strongly in favor of approval of the settlement.

13 Turning to the range of reasonableness, the Court
14 recognizes that the consent judgment was the product of
15 extensive negotiations and that it would have taken significant
16 judicial resources, in a contested setting, to devise equitable
17 remedies that are as detailed and comprehensive as thoroughly
18 included in the 63-page consent judgment. Detailed equitable
19 relief that is agreed to and fashioned with collaborative input
20 from the parties, and with the full support and cooperation of
21 the leadership of the affected institutions, is also far more
22 likely to be implemented smoothly and effectively than a
23 Court-fashioned outcome after a contested and protracted trial.

24 The detailed and extensive relief is significant, and
25 the Court finds that it is well within the range of reasonable

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1 outcomes. The Court also recognizes that the parties, at the
2 Court's request, have amended the release provision of the
3 consent judgment to clarify that it applies only to systemic
4 issues and only until the agreement is terminated, in
5 accordance with its terms; thus, preserving more clearly the
6 absent class members' rights to assert individual claims,
7 should any arise, and eliminating any ambiguity as to whether
8 further impact litigation following the development and
9 implementation of the measures called for by the settlement was
10 intended to be foreclosed.

11 Finally, the retention of the independent monitor to
12 oversee the implementation of the consent judgment gives the
13 Court additional comfort as to the likelihood of proactive and
14 prompt implementation of the consent judgment, and the Court's
15 own ability to assess regularly and efficiently the continued
16 fairness, adequacy and reasonableness of the measures called
17 for by the consent judgment as its provisions are carried out
18 over the next few years. The settlement falls well within the
19 range of reasonable outcomes under the circumstances.

20 I turn to the issue of compliance with the PLRA.
21 Because this litigation concerns prison conditions, the
22 settlement cannot be approved unless it complies with the
23 requirements of the Prison Litigation Reform Act, or PLRA. The
24 PLRA places constraints on the prospective relief that may be
25 granted in civil actions regarding prison conditions. 18,

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1 U.S.C., Section 3626(a) provides that such relief shall extend
2 no further than necessary to correct the violation of the
3 federal right of a particular plaintiff or plaintiffs. The
4 PLRA requires the Court to find that the consent judgment is
5 narrowly drawn, extends no further than necessary to correct
6 the violation of the federal right, and is the least intrusive
7 means necessary to correct the violation of the federal right.

8 The remedies, however, may require more than the bare
9 minimum that federal law would permit and, yet, still be
10 necessary and narrowly drawn to correct the violation, and may
11 be deemed properly drawn if it provides a practicable means of
12 effectuation, even if such relief is overinclusive. See
13 *Handberry v. Thompson*, 446 F.3d 335 at 346 to 47, a Second
14 Circuit decision.

15 Here, defendants have expressly agreed that the relief
16 called for by the consent judgment is narrowly drawn, extends
17 no further than is necessary to correct the alleged violations
18 of federal rights, is the least-intrusive means necessary to
19 correct these violations, and will not have an adverse impact
20 on public safety or the operation of the criminal justice
21 system. This agreement is documented in Section 22 of the
22 consent judgment. The City's acknowledgment of the propriety
23 of the prospective relief provides strong support for a
24 conclusion by this Court that the consent judgment satisfies
25 the requirements of the PLRA.

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1 Moreover, in response to the Court's request for
2 confirmation by a person with corrections expertise that the
3 measures are narrowly drawn and necessary, the parties have
4 submitted the declaration of Mr. Steve Martin, who was retained
5 as a consulting expert by plaintiffs' class counsel, and has
6 been extensively involved in the case and has decades of
7 experience with correctional facilities.

8 The parties have jointly proposed Mr. Martin to serve
9 as the monitor under consent judgment and have jointly
10 proffered his declaration as evidence that the relief is
11 narrowly tailored and will not have an adverse effect on public
12 safety or the operation of the criminal justice system.

13 In his declaration, Mr. Martin recounts that he had
14 identified several areas of concern with respect to staff on
15 inmate violence in the City jails, including unusually high
16 frequency of use-of-force incidents, systemic deficiencies with
17 respect to adequate accountability, reporting and investigation
18 of use-of-force incidents and the need for improved training in
19 the use-of-force policies.

20 Mr. Martin concluded that substantial system-wide
21 reforms were necessary to reduce the level of staff-on-inmate
22 violence in the City jails, ensure the safety and well-being of
23 inmates, and protect inmates' constitutional rights. In his
24 declaration, he describes the 14 categories of reform included
25 in the consent judgment, and explains how those remedies are

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1 necessary to address the alleged violations.

2 He also confirms that the consent judgment's terms are
3 consistent with sound security practice and likely to promote
4 public safety and protect both inmates and department staff
5 from unnecessary harm and injuries. The Martin declaration
6 provides uncontroverted evidence that the remedies provided for
7 in the consent judgment are consistent with the requirements of
8 the PLRA.

9 The Court notes that the City has voluntarily waived
10 the PLRA Section 3626(b)(1)(A) provision permitting any party
11 to apply for termination of prospective relief as to prison
12 conditions after two years, agreeing, instead, to the
13 termination mechanism set forth in the consent judgment, which
14 provides that the agreement shall terminate only upon a finding
15 by the Court that defendants have achieved substantial
16 compliance with the provisions of the agreement and have
17 maintained such compliance for a period of two years.

18 The prospective relief is, nonetheless, narrowly
19 tailored. The consent judgment's termination provisions
20 appropriately recognize the fact that many of the undertakings
21 by the City in the consent judgment are long-term action items
22 and will likely take longer than two years to implement.

23 Based on Mr. Martin's declaration, the other
24 submissions that have been made in this case, the remarks of
25 counsel here in court today, and the Court's own careful review

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1 and assessment of the consent judgment, the Court finds that
2 the prospective relief provisions of the consent judgment
3 satisfy the requirements of the PLRA, in that they are narrowly
4 drawn, extend no further than is necessary to correct the
5 alleged violations of federal rights, are the least-intrusive
6 means necessary to correct these violations, and will not have
7 an adverse impact on public safety or the operation of the
8 criminal justice system. In fact, the Court finds that they
9 are very likely to have a positive impact on public safety and
10 the operation of the criminal justice system.

11 I now turn to the parties' agreement concerning the
12 payment of the fees and expenses of class counsel. The consent
13 judgment contains a provision for an award of attorneys' fees
14 to class counsel in the amount of \$6.5 million. According to
15 the declaration of Christina Bucci, class counsel have devoted
16 approximately 35,000 hours of attorney time to the prosecution
17 of this litigation.

18 The determination of how much to award in attorneys'
19 fees is a matter entrusted to the sound discretion of the
20 Court. *Reed v. A.W. Lawrence and Company, Inc.*, 95 F.3d 1170
21 at 1183, a 1996 Second Circuit decision.

22 Plaintiffs' class counsel represents that, if billed
23 at reasonable market rates, the actual aggregate value of
24 compensable attorney time charges, calculated as a lodestar
25 amount, would have far exceeded the award provided for under

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1 the consent judgment. The City, which will pay the award from
2 public funds, moreover, has agreed to the amount of attorneys'
3 fees requested, which indicates that the award is fair and
4 reasonable. The Court approves the fee provision as fair and
5 reasonable.

6 In summary, the Court finds that the settlement, taken
7 as a whole, is fair, reasonable and adequate and was not the
8 product of collusion. An order granting the motion and the
9 consent judgment will be entered.

10 I, again, want to congratulate and commend everyone
11 for this tremendous effort on the groundbreaking work that has
12 brought us here today. I commend the individual plaintiffs and
13 class representatives who had the courage to speak up about the
14 conditions under which they were confined, as well as the
15 attorneys and public officials who have brought this settlement
16 to fruition.

17 These reforms are very important steps, addressing
18 profoundly serious issues of inmate safety and dignity, which
19 have significant implications for the integrity and public
20 credibility of our criminal justice and correctional systems.

21 The settlement approval today is the product of
22 unprecedented analysis, investigation, collaboration,
23 commitment to the protection of rights, and vision for systemic
24 reform. It is a detailed and far-reaching agreement that gives
25 real weight to the principle that force against detainees and

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1 inmates should only be used as a last resort and that all
2 inmate interactions should be considered and controlled. This
3 agreement will be an important foundational step for a future
4 of constructive change in correctional institution
5 administration.

6 The provisions of the consent judgment that eliminate
7 punitive segregation for youth inmates under 18, and serve to
8 ensure the safety of and productive programs for youth inmates,
9 are equally significant and precedential.

10 The undertakings and monitoring provisions set forth
11 in the consent judgment represent investments in the integrity
12 of the administration of our jails, which, in turn, constitute
13 investments in our communities. The way we treat inmates not
14 only effects the lives of those individuals, but conveys
15 important messages about how we, as a society, value these
16 individuals and the communities to which they will return.

17 Such serious attention to the safety, supervision and
18 monitoring of inmates and corrections personnel, alike,
19 requires and confirms the recognition of the worth and dignity
20 of every human being. The settlement provides an important
21 example for other correctional systems throughout the country.

22 There is a long road ahead, and successfully
23 implementing many of the provisions of the consent judgment
24 will, no doubt, require much patience and continued hard work.
25 The ultimate success of what you all have achieved here today

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1 will be measured in the years to come, and I look forward to
2 seeing rapid and meaningful progress reflected in the monitor's
3 reports.

4 Again, my congratulations and thanks to each of you.

5 Now, I will sign an order granting the motion, and I
6 will also sign the consent judgment, which will be entered by
7 the clerk of the court.

8 (Pause)

9 I have signed both, and they will be entered by the
10 clerk of court.

11 Is there anything else that we should take up together
12 today?

13 MR. ABADY: I think we would probably be remiss if
14 somebody didn't stand up and say that we very much appreciate
15 the participation and attention that the Court has given to
16 this process in this case, both your Honor and Magistrate Judge
17 Francis.

18 This was a pretty unique experience for the parties,
19 at a certain point, abandoned litigation and really jointly
20 entered a process of problem solving, and we did it with the
21 assistance and oversight of the Court, and we're all
22 appreciative and mindful of what role your Honor played. Thank
23 you.

24 THE COURT: Thank you. It is the Court's privilege.
25 honor and obligation. So be well, everyone.
(Adjourned)